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# Existence of nontrivial solution for a nonlocal problem with subcritical nonlinearity

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# Abstract

In this paper, we consider the following new nonlocal Dirichlet boundary value problem:

$$\begin{cases} -(a-b\int_{\Omega}|\nabla u|^{2} dx)\Delta u = \lambda u + g(x,u), & x \in \Omega, \\ u = 0, & x \in \partial\Omega, \end{cases}$$
(0.1)

where *a* and *b* are positive,  $\lambda$  is a positive parameter,  $0 \le \lambda < a\lambda_1, \lambda_1$  is the first eigenvalue of operator  $-\Delta$ . Under appropriate assumptions on the function *g* which is of subcritical growth, we obtain a nontrivial solution.

MSC: Primary 35B33; secondary 35B38; 35B09

Keywords: Nonlocal problem; Nontrivial solution; Subcritical nonlinearity

### 1 Introduction and main result

In this paper, we consider the following new nonlocal Dirichlet boundary value problem:

$$-(a - b \int_{\Omega} |\nabla u|^2 dx) \Delta u = \lambda u + g(x, u), \quad x \in \Omega,$$
  
$$u = 0, \qquad \qquad x \in \partial\Omega,$$
  
(1.1)

where *a* and *b* are positive,  $\lambda$  is a positive parameter.

The search for a nontrivial solution of problem (1.1) is a new subject and of great significance. We put forward a new nonlocal term  $a - b \int_{\Omega} |\nabla u|^2 dx$ , which is different from the well known nonlocal term  $a + b \int_{\Omega} |\nabla u|^2 dx$  and presents a lot of interesting difficulties.

Recently, mathematical studies have focused on the existence of solutions of the Kirchhoff type problem

$$\begin{cases} -(a+b\int_{\Omega}|\nabla u|^{2}\,dx)\Delta u=g(x,u), & x\in\Omega,\\ u=0, & x\in\partial\Omega, \end{cases}$$



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where a > 0, b > 0 and  $\Omega$  is either a smooth bounded domain in  $\mathbb{R}^N$  or  $\Omega = \mathbb{R}^N$ . The results about problem with subcritical nonlinearity can be seen in [1–5] and the critical cases in [6–13]. Here we do not present the results in detail, someone who is interested in them can consult the references therein.

However, there are only few results about problem (1.1). When  $\lambda = 0$  and  $g(x, u) = |u|^{p-2}u$  was of subcritical growth, Yin and Liu [14] considered

$$\begin{cases} -(a-b\int_{\Omega}|\nabla u|^{2} dx)\Delta u = |u|^{p-2}u, & x \in \Omega, \\ u = 0, & x \in \partial\Omega, \end{cases}$$

and obtained existence and multiplicity of nontrivial solutions. When  $\lambda = 0$  and  $g(x, u) = f_{\lambda}(x)|u|^{p-2}u$ , Lei [15] considered

$$\begin{cases} -(a-b\int_{\Omega}|\nabla u|^{2} dx)\Delta u = f_{\lambda}(x)|u|^{p-2}u, & x \in \Omega, \\ u = 0, & x \in \partial\Omega. \end{cases}$$

Under some special conditions and for 1 , the author obtained two solutions. Lei [16] also investigated

$$\begin{cases} -(a-b\int_{\Omega}|\nabla u|^{2} dx)\Delta u = \frac{\lambda}{u^{\gamma}}, & x \in \Omega, \\ u = 0, & x \in \partial \Omega, \end{cases}$$

and, when  $0 < \gamma < 1$  and  $0 < \lambda < \lambda_*$ , at least two positive solutions were obtained. Wang [17] studied a nonlocal problem involving critical exponent, namely

$$\begin{cases} -(a-b\int_{\Omega}|\nabla u|^2\,dx)\Delta u=|u|^2u+\mu f(x), \quad x\in\mathbb{R}^4,\\ u\in D^{1,2}(\mathbb{R}^4), \end{cases}$$

for which infinitely many positive solutions and at least two positive solutions were found for  $\mu = 0$  and  $\mu \in (0, \mu_*]$ . For some other important results the interested reader is also referred to [18–21].

We are inspired by the above articles and consider a new problem which is different from the mentioned above. Assume that nonlinearity g satisfies the following assumptions:

- (g<sub>1</sub>) g is continuous,  $1 \le i \le N$ ,  $|g(x, u)| \le C(1 + |u|^{p-1})$  for some C > 0 and 2 , $where <math>2^* = \frac{2N}{N-2}$  if  $N \ge 3$ ,  $2^* = \infty$  if N = 1 or 2;
- (g<sub>2</sub>) g(x, u) = o(u) uniformly in x as  $u \to 0$ ;
- $(g_3)$   $u \mapsto \frac{g(x,u)}{u}$  is positive for  $u \neq 0$ , nonincreasing on  $(-\infty, 0)$  and nondecreasing on  $(0, +\infty)$ .

Now, we state our main result.

**Theorem 1.1** Suppose that conditions  $(g_1)-(g_3)$  and  $0 \le \lambda < a\lambda_1$  hold, then problem (1.1) *has a nontrivial solution.* 

#### 2 Preliminary results

In this section, we present the variational results which will be used in the proof of Theorem 1.1. Let  $E := H_0^1(\Omega)$  be endowed with the usual norm

$$\|\boldsymbol{u}\| = \langle \boldsymbol{u}, \boldsymbol{u} \rangle^{1/2} = \left(\int_{\Omega} |\nabla \boldsymbol{u}|^2\right)^{1/2}.$$

The usual norm in the Lebesgue space  $L^p(\Omega)$  is denoted by  $|u|_p$ .

A function  $u \in E$  is called a weak solution of problem (1.1) if

$$a\int_{\Omega} \nabla u \nabla v \, dx - b \|u\|^2 \int_{\Omega} \nabla u \nabla v \, dx = \lambda \int_{\Omega} uv \, dx - \int_{\Omega} g(x, u)v \, dx, \quad \forall v \in E.$$

Moreover, our assumptions imply that the solutions of (1.1) are the critical points of the functional defined in *E* by

$$I(u) = \frac{a}{2} \|u\|^2 - \frac{b}{4} \|u\|^4 - \frac{\lambda}{2} \int_{\Omega} |u|^2 \, dx - \int_{\Omega} G(x, u) \, dx.$$

It is easy to see for  $\forall u, v \in E$ ,

$$\langle I'(u), v \rangle = a \int_{\Omega} \nabla u \nabla v \, dx - b ||u||^2 \int_{\Omega} \nabla u \nabla v \, dx - \lambda \int_{\Omega} uv \, dx - \int_{\Omega} g(x, u) v \, dx.$$

Let  $\lambda_i$  (i = 1, 2, ...) be the eigenvalues of operator  $-\Delta$  with zero Dirichlet boundary condition. It is well known that each eigenvalue  $\lambda_i$  is positive, isolated and has finite multiplicity, the smallest eigenvalue  $\lambda_1$  being simple and  $\lambda_i \to \infty$  as  $i \to \infty$ . Here we only need the first eigenvalue of  $-\Delta$ , where  $\lambda_1 = \inf_{u \neq 0} \frac{\int_{\Omega} |\nabla u|^2}{\int_{\Omega} |u|^2}$  and assume that  $0 \le \lambda < a\lambda_1$ .

## 3 Proof of Theorem 1.1

In this section, we will prove Theorem 1.1, so from now on we always suppose that  $(g_1)$ – $(g_3)$  hold. First,  $(g_1)$  and  $(g_2)$  imply that for each  $\varepsilon > 0$  there is a  $C_{\varepsilon} > 0$  such that

$$\left|g(x,u)\right| \le \varepsilon |u| + C_{\varepsilon} |u|^{p-1} \quad \text{for all } u \in \mathbb{R}.$$
(3.1)

And using  $(g_2)$  and  $(g_3)$ , one can easily check that

$$G(x, u) \ge 0 \quad \text{and} \quad g(x, u)u \ge 2G(x, u) > 0 \quad \text{if } u \neq 0.$$

$$(3.2)$$

**Lemma 3.1** If  $0 \le \lambda < a\lambda_1$ , then there exists a sequence  $\{u_n\} \subset E$  satisfying  $I(u_n) \to c$ ,  $I'(u_n) \to 0$ , where  $0 < c < \frac{a^2}{4b}$ .

*Proof* For  $\lambda_1 = \inf_{u \neq 0} \frac{\int_{\Omega} |\nabla u|^2}{\int_{\Omega} |u|^2}$ , then

$$\left(a-\frac{\lambda}{\lambda_1}\right)\int_{\Omega}|\nabla u|^2\leq a\int_{\Omega}|\nabla u|^2-\lambda\int_{\Omega}|u|^2\leq a\int_{\Omega}|\nabla u|^2.$$

Also by (3.1), we can choose a sufficiently small  $\varepsilon = \frac{\lambda_1}{2}(a - \frac{\lambda}{\lambda_1})$ , and then

$$\begin{split} I(u) &= \frac{a}{2} \|u\|^2 - \frac{b}{4} \|u\|^4 - \frac{\lambda}{2} \int_{\Omega} |u|^2 - \int_{\Omega} G(x, u) \\ &\geq \frac{1}{2} \left( a - \frac{\lambda}{\lambda_1} \right) \int_{\Omega} |\nabla u|^2 - \frac{b}{4} \left( \int_{\Omega} |\nabla u|^2 \right)^2 - \frac{\varepsilon}{2} \int_{\Omega} |u|^2 - \frac{C_{\varepsilon}}{p} \int_{\Omega} |u|^p \\ &\geq \frac{1}{2} \left( a - \frac{\lambda}{\lambda_1} \right) \int_{\Omega} |\nabla u|^2 - \frac{b}{4} \|u\|^4 - \frac{\varepsilon}{2\lambda_1} \int_{\Omega} |\nabla u|^2 - \frac{C_1 C_{\varepsilon}}{p} \|u\|^p \\ &\geq \frac{1}{4} \left( a - \frac{\lambda}{\lambda_1} \right) \|u\|^2 - \frac{b}{4} \|u\|^4 - \frac{C_1 C_{\varepsilon}}{p} \|u\|^p, \end{split}$$

Since  $4 , for small enough <math>\rho > 0$ , for all  $u \in E$  and  $||u|| = \rho$ , it holds that  $I(u) = \gamma > 0$ . On the other hand, for  $u \neq 0$  and  $t \in \mathbb{R}$ ,

$$I(tu) = \frac{at^2}{2} ||u||^2 - \frac{bt^4}{4} ||u||^4 - \frac{\lambda t^2}{2} \int_{\Omega} |u|^2 - \int_{\Omega} G(x, tu),$$

so that when  $t \to \infty$ , we have  $I(tu) \to -\infty$ . This means that there is a  $t_1$  such that  $u_1 = t_1 u \in E$ ,  $||u_1|| > \rho$  and  $I(u_1) < 0$ . As a consequence, by the mountain pass lemma without (PS) condition [22], there exists a sequence  $\{u_n\} \subset E$  such that  $I(u_n) \to c$ ,  $I'(u_n) \to 0$  for

$$c = \inf_{h \in \Gamma} \max_{u \in h([0,1])} I(u) \ge \gamma > 0,$$

where

$$\Gamma = \{h \in C([0,1], E) : h(0) = 0, h(1) = u_1\}.$$

Because

$$\begin{split} \max_{t \in [0,1]} I(tu_1) &= \max_{t \in [0,1]} \left\{ \frac{at^2}{2} \|u_1\|^2 - \frac{bt^4}{4} \|u_1\|^4 - \frac{\lambda t^2}{2} \int_{\Omega} |u_1|^2 - \int_{\Omega} G(x, tu_1) \right\} \\ &< \max_{t \in [0,1]} \left\{ \frac{at^2}{2} \|u_1\|^2 - \frac{bt^4}{4} \|u_1\|^4 \right\} \\ &\leq \frac{a^2}{4b}, \end{split}$$

it is easy to obtain that  $0 < c < \frac{a^2}{4b}$  according to the definition of *c*.

**Lemma 3.2** Under the condition  $c < \frac{a^2}{4b}$ , I satisfies the  $(PS)_c$  condition, i.e., any  $(PS)_c$  sequence of I has a convergent subsequence.

*Proof* We drew on the experience of [14]. Let  $\{u_n\} \subset E$  be such that  $I(u_n) \to c$ ,  $I'(u_n) \to 0$ . Since by (3.2)

$$c + o(1) = I(u_n) - \frac{1}{2} \langle I'(u_n), u_n \rangle$$
  
=  $\frac{a}{2} ||u_n||^2 - \frac{b}{4} ||u_n||^4 - \frac{\lambda}{2} \int_{\Omega} |u_n|^2 - \int_{\Omega} G(x, u_n)$ 

$$-\left[\frac{a}{2}\|u_n\|^2 - \frac{b}{2}\|u_n\|^4 - \frac{\lambda}{2}\int_{\Omega}|u_n|^2 - \frac{1}{2}g(x, u_n)\right]$$
  
$$\geq \frac{b}{4}\|u_n\|^4,$$

we know that  $\{u_n\}$  is bounded in *E*. By passing to a subsequence, still denoted  $\{u_n\}$ , we may assume that there is a  $u \in E$  such that

$$u_n \rightarrow u$$
 in  $E$ ,  
 $u_n \rightarrow u$  in  $L^s(\Omega)$  for  $s \in [1, 2^*)$ ,  
 $u_n(x) \rightarrow u(x)$  for a.e.  $x \in \Omega$ .

On account of

$$o(1) = \langle I'(u_n), u_n - u \rangle$$
  
=  $(a - b ||u_n||^2) \int_{\Omega} \nabla u_n \nabla (u_n - u) - \lambda \int_{\Omega} u_n (u_n - u) - \int_{\Omega} g(x, u_n) (u_n - u)$ 

and

$$\left|\int_{\Omega} u_n(u_n-u)\right| \leq \left(\int_{\Omega} |u_n|^2\right)^{\frac{1}{2}} \left(\int_{\Omega} |u_n-u|^2\right)^{\frac{1}{2}},$$

also by (3.1)

$$\begin{split} \left| \int_{\Omega} g(x, u_n)(u_n - u) \right| \\ &\leq \varepsilon \left| \int_{\Omega} u_n(u_n - u) \right| + C_{\varepsilon} \left| \int_{\Omega} |u_n|^{p-2} u_n(u_n - u) \right| \\ &\leq \varepsilon \left( \int_{\Omega} |u_n|^2 \right)^{\frac{1}{2}} \left( \int_{\Omega} |u_n - u|^2 \right)^{\frac{1}{2}} + C_{\varepsilon} \left( \int_{\Omega} (|u_n|^{p-1})^{\frac{p}{p-1}} \right)^{\frac{p-1}{p}} \left( \int_{\Omega} (|u_n - u|^p) \right)^{\frac{1}{p}}, \end{split}$$

because  $u_n \to u$  in  $L^s(\Omega)$ ,  $s \in [1, 2^*)$ , the above two formulas show that when  $n \to \infty$ ,

$$\left(a-b\|u_n\|^2\right)\int_{\Omega}\nabla u_n\nabla(u_n-u)\to 0.$$
(3.3)

If there exists a subsequence of  $\{u_n\}$ , still denoted  $\{u_n\}$ , satisfying  $||u_n||^2 \rightarrow \frac{a}{b}$ , define a functional

$$\varphi(u) = \frac{\lambda}{2} \int_{\Omega} |u|^2 + \int_{\Omega} G(x, u), \quad u \in E.$$

Then

$$\langle \varphi'(u), v \rangle = \lambda \int_{\Omega} uv + \int_{\Omega} g(x, u)v, \quad u, v \in E,$$

and

$$\langle \varphi'(u_n) - \varphi'(u), v \rangle = \lambda \int_{\Omega} (u_n - u)v + \int_{\Omega} [g(x, u_n) - g(x, u)]v$$

**Claim.** 
$$\langle \varphi'(u_n) - \varphi'(u), v \rangle \to 0, \forall v \in E.$$

Firstly,

$$\lambda \int_{\Omega} (u_n - u) v \leq \lambda \left( \int_{\Omega} |u_n - u|^2 \right)^{\frac{1}{2}} \left( \int_{\Omega} |v|^2 \right)^{\frac{1}{2}},$$

since  $u_n \to u$  in  $L^2(\Omega)$ , thus  $\lambda \int_{\Omega} (u_n - u) v \to 0$ .

Secondly, to prove the claim, we only need to prove

$$\lim_{n\to\infty}\int_{\Omega}\left|g(x,u_n)-g(x,u)\right||\nu|=0.$$
(3.4)

If (3.4) is not true, then there exist a constant  $\varepsilon_0 > 0$  and a subsequence  $u_{k_i}$  such that

$$\int_{\Omega} \left| g(x, u_{k_i}) - g(x, u) \right| |\nu| \ge \varepsilon_0, \quad \forall i \in \mathbb{N},$$
(3.5)

Since  $u_n \to u$  in  $L^p(\Omega)$ , passing to a subsequence if necessary, we can assume that  $\sum_{i=1}^{\infty} |u_{k_i} - u|_p^p < +\infty$ . Set

$$\omega(x) = \left[\sum_{i=1}^{\infty} \left|u_{k_i}(x) - u(x)\right|^p\right]^{\frac{1}{p}}, \quad \forall x \in \Omega.$$

Then  $\omega \in L^p(\Omega)$ . Note that for  $\forall i \in \mathbb{N}, x \in \Omega$ ,

$$\begin{aligned} \left| g(x, u_{k_{i}}) - g(x, u) \right| |v| \\ &\leq \left( \left| g(x, u_{k_{i}}) \right| + \left| g(x, u) \right| \right) |v| \\ &\leq \left[ \varepsilon \left( \left| u_{k_{i}} \right| + \left| u \right| \right) + C_{\varepsilon} \left( \left| u_{k_{i}} \right|^{p-1} + \left| u \right|^{p-1} \right) \right] |v| \\ &\leq \left[ 2^{2} \varepsilon \left( \left| u_{k_{i}} - u \right| + \left| u \right| \right) + 2^{p} C_{\varepsilon} \left( \left| u_{k_{i}} - u \right|^{p-1} + \left| u \right|^{p-1} \right) \right] |v| \\ &\leq \left[ 2^{2} \varepsilon \left( \left| \omega \right| + \left| u \right| \right) + 2^{p} C_{\varepsilon} \left( \left| \omega \right|^{p-1} + \left| u \right|^{p-1} \right) \right] |v| \\ &\leq \left[ 2^{2} \varepsilon \left( |\omega| + \left| u \right| \right) + 2^{p} C_{\varepsilon} \left( \left| \omega \right|^{p-1} + \left| u \right|^{p-1} \right) \right] |v| \\ &\leq \left[ 2^{2} \varepsilon \left( |\omega| + \left| u \right| \right) + 2^{p} C_{\varepsilon} \left( \left| \omega \right|^{p-1} + \left| u \right|^{p-1} \right) \right] |v| \end{aligned}$$

$$(3.6)$$

and

$$\int_{\Omega} f(x) dx = \int_{\Omega} \left[ 2^{2} \varepsilon \left( |\omega| + |u| \right) + 2^{p} C_{\varepsilon} \left( |\omega|^{p-1} + |u|^{p-1} \right) \right] |\nu|$$

$$\leq 2^{2} \varepsilon \left( |\omega|_{2} + |u|_{2} \right) |\nu|_{2} + 2^{p} C_{\varepsilon} \left( |\omega|^{p-1}_{p} + |u|^{p-1}_{p} \right) |\nu|_{p} < +\infty.$$
(3.7)

Since  $u_{k_i} \rightarrow u$  a.e. in  $\Omega$ , then by (3.6), (3.7) and Lebesgue Dominated Convergence Theorem, we have

$$\lim_{i\to\infty}\int_{\Omega}\left|g\bigl(x,u_{k_i}(x)\bigr)-g\bigl(x,u(x)\bigr)\right||\nu|=0,$$

which contradicts (3.5). Hence (3.4) holds. Then the claim follows. By arbitrariness of  $\nu$ , then

$$\left\|\varphi'(u_n)-\varphi'(u)\right\|_{E'}\to 0,$$

and  $\varphi'(u_n) \to \varphi'(u)$  in E'. While  $\langle I'(u_n), v \rangle = (a - b ||u_n||^2) \langle u_n, v \rangle - \langle \varphi'(u_n), v \rangle, \langle I'(u_n), v \rangle \to 0$ ,  $a - b ||u_n||^2 \to 0$ , hence  $\varphi'(u_n) \to 0$ , i.e.,

$$\langle \varphi'(u), v \rangle = \lambda \int_{\Omega} uv + \int_{\Omega} g(x, u)v = 0, \quad \forall v \in E,$$

and then we have

$$\lambda u(x) + g(x, u(x)) = 0$$
 for a.e.  $x \in \Omega$ ,

by the fundamental lemma of the variational method (see [23]). It follows that u = 0. So

$$\varphi(u_n) = \frac{\lambda}{2} \int_{\Omega} |u_n|^2 + \int_{\Omega} G(x, u_n) \to \frac{\lambda}{2} \int_{\Omega} |u|^2 + \int_{\Omega} G(x, u) = 0.$$

Hence we see that  $I(u_n) = \frac{a}{2} ||u_n||^2 - \frac{b}{4} ||u_n||^4 - \frac{\lambda}{2} \int_{\Omega} |u_n|^2 - \int_{\Omega} G(x, u_n) \rightarrow \frac{a^2}{4b}$  from  $||u_n||^2 \rightarrow \frac{a}{b}$ . This is a contradiction to  $I(u_n) \rightarrow c < \frac{a^2}{4b}$ . Then  $a - b ||u_n||^2 \rightarrow 0$  is not true and any subsequence of  $\{a - b ||u_n||^2 \rightarrow 0\}$  does not converge to zero. Therefore there exists a  $\delta > 0$  such that  $|a - b ||u_n||^2 |> \delta > 0$  when n is large enough. It is clear that  $\{a - b ||u_n||^2 \rightarrow 0\}$  is bounded. It follows from (3.3) that  $\int_{\Omega} \nabla u_n \nabla (u_n - u) \rightarrow 0$ . So  $||u_n|| \rightarrow ||u||$ . Hence  $u_n \rightarrow u$  in E due to the uniform convexity of E.

*Proof of Theorem* 1.1 According to Lemma 3.1, there exists a sequence  $\{u_n\} \in E$  satisfying  $I(u_n) \to c > 0$ ,  $I'(u_n) \to 0$ . By Lemma 3.2,  $\{u_n\}$ , which is the sequence obtained by Lemma 3.1, possesses a convergent to u subsequence (still denoted by  $\{u_n\}$ ). So it follows from the continuity that  $I(u_n) \to c > 0$ ,  $I'(u_n) \to 0$ . But I(0) = 0, therefore  $u \neq 0$ , that is, u is a nontrivial solution of problem (1.1).

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#### Authors' contributions

All authors contributed equally to the manuscript. All authors read and approved the final manuscript.

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